

DDH Investment Access Funds Switching Form



This Switching Form relates to the DDH Investment Access Funds (the 'Funds') Product Disclosure Statement dated 1 July 2009. Units in the Funds are issued by DDH Graham Limited ABN 28 010 639 219 holder of Australian Financial Services Licence Number 226319. This Switching Form must not be handed to any person unless included or accompanied by the PDS. The PDS contains important information about investing in Units in the Funds. It is advisable to read the PDS before applying for these Units. A person who gives another person access to this Switching Form must at the same time, and by the same means, give the other person access to the PDS. We will send you a paper copy of the PDS on request without charge.

OFFICE USE ONLY	
Date:	Time:

1 Please provide your current DDH Graham Limited investor number

Investor number

2 Have your contact details changed?

I/We... First names

of (please fill in your new contact details, only if they have changed since you last provided us with your contact details).

Residential address

Home phone () Work phone ()

Postal address*

State Postcode

State Postcode

hereby request that you switch from my/our holding in accordance with the following instructions.

3 Where would you like to switch Funds?

Please select the Fund you wish to switch from:				Please select the Fund you wish to switch to:		
All Units	\$ Amount	No. of Units	Funds (minimum \$500)	Full amount	\$ Amount*	%
	\$.		DDH Conservative Growth Fund		\$.	
	\$.		DDH Balanced Growth Fund		\$.	
	\$.		DDH Aggressive Growth Fund		\$.	
	\$.		DDH Australian Shares Fund		\$.	
	\$.		DDH Australian Equities Fund (active)		\$.	
	\$.		DDH Australian Small Companies Fund		\$.	
	\$.		DDH Fixed Interest Fund		\$.	
	\$.		DDH Global Fixed Interest Alpha Fund		\$.	
	\$.		DDH Cash Fund		\$.	
Total	\$.			Total	\$.	100%

* Note that if this is the initial, start-up investment into a new Fund the amount must be a minimum of \$2,000. If a regular savings plan is started (complete the Direct Debit Request Form) then the minimum investment is reduced to \$500.
If this is an initial, start-up investment into a new Fund, please complete section 4.

4 How would you like to receive your income?

Please select **one** of the following options (if you do not select an option we will assume you have selected option (a)).

	<input type="checkbox"/>	(a) Reinvest income in additional Units
or	<input type="checkbox"/>	(b) Pay income into your DDH/Bank of Queensland Money Market Deposit Account (this option is only open to existing Money Market Deposit Account Holders).
Account number	9 9 8 7	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
or	<input type="checkbox"/>	(c) Pay income directly into bank or financial institution account in Australia other than (b) above (please complete details below).
Name of financial institution	<input type="text"/>	
Address of financial institution	<input type="text"/>	
Account name with financial institution (e.g. JOHN SMITH)	<input type="text"/> A/C>	
BSB (branch number)	<input type="text"/> - <input type="text"/>	
Account number	<input type="text"/>	

5 Applicant acknowledgement and signature(s) ALL APPLICANTS MUST COMPLETE THIS SECTION

(a) Applicant acknowledgement

I/We agree to be bound by the provisions of the Constitution that governs the Fund in which I/we apply to invest as amended from time to time.

I/We have read the Product Disclosure Statement dated 1 July 2009.

I/We agree that if we use the facsimile service I/we will be bound by the terms and conditions applicable to the facility as set out in the PDS, as amended from time to time.

I/We acknowledge that DDH Graham Limited ("DDH") may accept instruction from any one investor in a joint unitholding, unless I/we have specified otherwise on this Application Form.

I/We acknowledge and agree to promptly repay any withdrawal, distribution or other payment notified by the Responsible Entity to me/us as being made in error.

I/We understand that information concerning me/us collected from any source, including any information:

- contained in this Application Form
- contained in the AML/CTF Checklist or provided with that form
- relating to the outcome of this application
- relating to my death or insolvency
- which otherwise incorporates any DDH references for any of my/our accounts with DDH

may be made available or used by my/our adviser whose details appear on this form, DDH or any entity carrying out functions on behalf of DDH for the purposes of:

- enabling DDH to process my/our application and administer the products or services DDH supplies to me/us
- DDH offering other services or products which may be of interest to me/us unless I/we request in writing that DDH does not do so
- prevention and detection of money laundering and terrorist financing
- verifying my/our identity and customer due diligence purposes, or
- any other purpose authorised by law.

I/We also understand that:

- failure to provide information required in this application may affect the success of this application or any further application I/we may make to DDH.
- I/We can amend any personal information that DDH holds about me/us or find out what information DDH holds about me/us, by contacting the Privacy Officer, DDH Graham Limited, GPO Box 330, Brisbane Qld 4001 at any time in writing.

- while DDH will take all reasonable steps to protect information that I/we provide, DDH cannot guarantee the security of certain types of information provided by me/us (for example over the internet or by email).

I/We hereby consent for DDH to collect, retain, use, store and disclose such information as detailed above.

I/We hereby certify that I/we am/are not a foreign shell bank (a bank that does not maintain a physical presence in any country).

I/We hereby certify that I/we am/are not a US Person(s) (as defined below) nor do I/we act on behalf of or for the benefit of any US Person and I/we agree to notify DDH immediately if I/we become a US Person. Further, I/we understand and agree that the Units described in the PDS may not be offered to a US Person (as defined below) and I/we will not, subject to the discretion of DDH, at any time cause my/our Units to be sold or transferred, directly or indirectly to or for the benefit of a US Person.

US Persons include:

- any natural person resident in the United States;
- any partnership or corporation organised or incorporated under the laws of the United States;
- any estate of which any executor or administrator is a US Person;
- any trust of which any trustee is a US Person;
- any agency or branch of a foreign entity located in the United States;
- any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a US Person;
- any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated or (if an individual) resident in the United States; and
- any partnership or corporation if (a) organised or incorporated under the laws of any foreign jurisdiction and (b) formed by a US Person principally for the purpose of investing in securities not registered under the *US Securities Act of 1933*, as amended, unless it is organised or incorporated, and owned, by 'accredited investors' (within the meaning given to such term in Regulation D under the Securities Act) who are not natural persons, estates or trusts.

I/We certify that I/we received the PDS in Australia and have completed this Application Form in Australia.

